QUALITY ASSURANCE POLICY

NATIONAL UNIVERSITY OF SCIENCES AND TECHNOLOGY (NUST)
Sector H-12 Islamabad, Pakistan

Approved in 47th ACM
on 22 Sep, 2016
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ABBREVIATIONS

a. AA Academic Audit
b. Acad Academics
c. ACM Academic Council Meeting
d. AT Assessment Team
e. CPSR Computer Professionals for Social Responsibility
f. DLQEC Director Local Quality Enhancement Cell
g. HEC Higher Education Commission
h. HEI Higher Education Institution
i. HoD Head of Department
j. HQ Headquarters
k. IEEE Institute of Electrical and Electronics Engineers
l. IF Impact Factor
m. IPE Institutional Performance Evaluation
n. ISI International Scientific Indexing
o. IT Information Technology
p. MS Master of Science
q. MPhil Master of Philosophy
r. NBEAC National Business Education Accreditation Council
s. NCEAC National Computing Education Accreditation Council
t. NUST National University of Sciences and Technology
u. NOC No Objection Certificate
v. PCATP Pakistan Council of Architect & Town Planers
w. PEC Pakistan Engineering Council
x. PERN Pakistan Education & Research Network
y. PG Postgraduate
z. PGP Postgraduate Programs
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Section – 1

Introduction

1. Background

Globally, higher education systems are actively developing and implementing learning outcomes initiatives in accountability and quality assurance frameworks. Well defined objectives of teaching and learning quality are perceived to be beneficial in a number of ways. They will provide clear understanding of educational value to students, employers, and other stakeholders at-large. They are also expected to enhance institutional and programmatic improvement in monitoring, curriculum development, and teaching/learning practices. Well defined outcomes and structured processes offer better control of educational quality, what has been considered intangible in the world of quality assurance and accountability. Over and above, these policy guidelines will help harmonize/align NUST quality policy with National and International standards and best practices.

1.1 Vision

To employ best quality assurance measures to ensure highest quality of education and research at NUST, compatible and competitive with international standards.

1.2 Mission

To strive for achievement of the highest possible international standards of education and research at NUST by:

a) Developing / implementing QA Policies and regulating procedures
b) Assessing / auditing the processes, and
c) Suggesting measures for improvement in attitude and culture
d) Internationalization of the NUST
1.3 **Objectives/Tasks:**

Main objectives of QA policy are as follows:

a) Implementation of Quality Assurance Framework prescribed by Higher Education Commission of Pakistan, and make it compliant with relevant accreditation bodies.

b) To establish an effective system of Self-Assessment at all Higher Education Institutions (HEIs) of NUST and affiliated for all approved programs with the purpose of Quality Enhancement.

c) Developing procedures and ensuring that all HEIs are implementing these through Internal Quality Audits.

d) Assuring that university programs meet the requirements of HEC and the councils for accreditation.

e) Ensuring improvement of the quality of teaching, research publications and related students / staff support services through internal audits.

f) Suggesting measures for capacity building of faculty / staff involved in quality assurance measures.

g) Collection / provision of university statistics / data for university ranking by national / international agencies.

h) Formulating and implementing policies which provide an environment that attracts and nurtures high quality original scientific research publications output.

i) Encouraging, facilitating and financially supporting our faculty, students and researchers for presentation and publication of their scientific work at national and international forums.

j) Implementing plagiarism policies in order to save guard against plagiarism and eradicate plagiarism from our scholarly work.

k) Facilitating and guiding researchers to follow research ethics and use of “TURNITIN” in order to maintain academic integrity and quality in the scientific publications.
1.4 **Basic Principle of Quality Assurance (QA) Policy:**

QA policy of NUST is having a holistic approach, based on self-assessment keeping focus on Quality improvement through comprehensive framework as depicted below;-

1.5 **Establishment of QA Directorate:**

NUST was among first group of HEIs that opted to join Quality Enhancement program of HEC. NUST therefore established its Quality Assurance Directorate (QAD) in 2005. The organizational structure of QAD has been evolving over the period. Existing QA organogram along with component of International Collaboration is placed Annexure A and B respectively.
Section – 2
Self-Assessment, Accreditation and General QA Policy

2. Implementation of Quality Assurance

The detail of various measures to be taken for ensuring quality at all the institutions of NUST, following activities will be undertaken in accordance with the instructions laid down by HEC and various Accreditation councils/bodies.

2.1 Self-Assessment

To carry out self-assessment and prepare Self-Assessment Reports (SARs) of all the Academic Programs of NUST, HEC Manual will be taken as guide line. Procedure and responsibility of writing of SARs is as follows:

2.2 Self-Assessment Process:

Self-Assessment Reports are one of the most extensive works which is to be performed by the institutions. Each academic program shall undergo a self-assessment every year (assessment cycle). All the head of HEIs are responsible to ensure writing of SAR as prescribed in HEC Manual. DLQECs at each institution are responsible for, coordinating and follow up on the self-assessment (SA) activities.

2.3 Role and Responsibilities of DLQEC:

2.3.1 DLQEC is responsible for:

a) Initiation of Self-Assessment Process
b) Review of SARs for completion as per SA Manual
c) Formation of Assessment Team
d) Scheduling of AT visit
e) Receiving the AT Report & Department’s Implementation Plan
f) Verification of the Rubric Evaluation done by AT
g) Submission of the Executive Summary (along with implementation Plan) to HOI / Rector through QAD
h) Ensure timely filling of feedback forms
i) Submission of SARs (hard & soft copy) to Quality Assurance directorate for onward submission to HEC
j) Follow up of Implementation Plan till its completion
2.4 **SAR Writing:**

The procedure for writing of SARs is as follows:

a) DLQEC initiates the SA one semester prior to the end of the assessment cycle through the Vice Chancellor / Rector Office in which the program is offered. However, if the program is undergoing the SA for the first time, the department will be given one academic year for preparation.

b) Upon receiving the initiation letter the department shall form a program team (PT). The PT will be responsible for preparing a self-assessment report (SAR) about the program under consideration. The team will be the contact group during the assessment period.

c) The department shall submit the SAR to the LQEC through the concerned Dean. The LQEC reviews the SAR within one month to ensure that it is prepared according to the required format.

d) The Head of the Institution forms a program assessment team (AT) in Consultation with the DLQEC’s recommendations within one month. The AT Comprises of 2-3 faculty members from the university. The AT must have at least one expert in the area of the assessed program.

e) The LQEC plans and schedules the AT visit period in coordination with department that is offering the program.

f) The AT conducts the assessment, submits a report and presents its findings in an exit meeting that shall be attended by the DLQEC, Dean, PT and faculty members.

h) The Department shall prepare and submit an implementation plan to LQEC based on the AT findings. The plan must include AT findings, and the corrective actions to be taken, assigning of responsibility and a time frame for such actions. Table A.2 in Appendix A of HEC manual provides a format for preparing a summary of the implementation plan.

i) The LQEC shall follow up on the implementation plan to ensure departments are adhering to the implementation plan. The academic department shall inform the LQEC each time a corrective action is implemented. LQEC shall review the implementation plan once in a semester to assess the progress of implementation and submit the progress to Dte of QA.
2.5 **Program Team (PT):**

PT is a group of professionals which is nominated by the head of the department. It is responsible for writing of SAR and acts as a contact/focal group during the period of assessment process.

2.6 **Composition of PT:**

<table>
<thead>
<tr>
<th>No. of Students in the Program</th>
<th>Program Team</th>
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<tbody>
<tr>
<td>300</td>
<td>1 Chairman &amp; 3 Members</td>
</tr>
<tr>
<td>Between 300 to 1000</td>
<td>1 Chairman &amp; 5 Members</td>
</tr>
<tr>
<td>More than 1000</td>
<td>1 Chairman &amp; 6 Members</td>
</tr>
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</table>

2.7 **Desired Skills of PT:**

a) Demonstrate commitment to the principles of quality in higher education and the policies set by the Higher Education Commission of Pakistan.
b) An enquiring disposition.
c) Power of analysis and judgment.
d) Ability to work in teams.
e) Time management skills.
f) Experience of organization and management, particularly in relation to teaching and learning matters.
g) High standards of oral and written communication skills.
h) Self-motivated and willing to work for quality improvement.

2.8 **Responsibilities of PT:**

a) Compiling the report by responding to each criteria/standards given in the SA Manual and integrating the collected information/feedback.
b) Collecting relevant data on faculty, students, libraries, laboratories and infrastructure.
c) Getting SA Performae filled by faculty, students, alumni and employers.
d) Writing summaries of feedback received through Performae.
e) Analyzing the feedback and drawing conclusions.
f) Writing a foreword giving brief history of the program, particulars of the PT, date of starting/finalizing report writing.
g) The report may be signed by the convener/chairperson of the program team.
2.9 **Assessment Team (AT):**

AT is a group of professionals who will review the SAR prepared by the PT and give its findings in the form of a report (AT Report).

2.10 **Composition of AT:**

<table>
<thead>
<tr>
<th>No. of Students in the Program</th>
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2.11 **Desired Skills of AT:**

a) Demonstrate commitment to the principles of quality in higher education and the policies set by the Higher Education Commission of Pakistan.
b) An enquiring disposition.
c) Power of analysis and judgment.
d) Ability to work in teams.
e) Time management skills.
f) Experience of organization and management, particularly in relation to teaching and learning matters.
g) High standards of oral and written communication skills.
h) Self-motivated and willing to work for quality improvement.

2.12 **Responsibilities of AT:**

a) Check completeness of the SAR as per SA manual
b) Look at the comprehensiveness / relevance of responses to various criteria and standards
c) Verify the data / information given in SAR
d) Confirm the summaries of the feedbacks/surveys made by the PT
e) Review the conclusions drawn by the PT from the feedback proformae.
f) List down the findings from the assessment exercise
g) Carry out rubric evaluation of SAR
h) Write down the AT report
2.13 **Internal Academic Audit**

a. QA Dte plans coordinates and executes periodic internal academic audit of all NUST institutions. Composition of Audit team is as follows:-

(i) Registrar  
(ii) Director QA  
(iii) Director HR  
(iv) Director Admin  
(v) Director Acad  
(vi) Director PGP  
(vii) Director RIC  
(viii) Director Finance  
(ix) Deputy Director QA (Panel Secretary)

b. Prepare Internal Audit program at the start of calendar year and disseminate to all the institutions.

c. Get feedback/self-assessment from the institution as per Annex-B and share with the Audit team.

d. Carry out detailed academic audit of the institution. Scope of university Internal Quality Audit for NUST institutions is placed at Annex-C.

e. During the visit a Presentation by the Institution is given to the panel members. Evaluation of documents is carried out. The panel members carry out inspection of laboratories, classrooms and library etc. The panel members also conduct interviews of students and faculty prior to the debriefing to head of the institution.

f. After the visit QA Dte gets the feedback from all panel members and prepares Post visit report. The Post visit report is presented to Rector through Pro Rector (Acad). After receiving comments from Rector the report is dispatched to the head of the institution for taking appropriate actions according to the recommendations given in the report. QA Dte keeps monitoring the progress on the panel recommendations, from the institutions.
2.14 **Accreditation**

a. Accreditation / Re-accreditation of all UG programs of all NUST institutions are to be processed through QA Dte (AA). It will be institution responsibility to take financial approval of accreditation fee from Finance Dte as prescribed by accreditation council. ACM approval of the program prior to the accreditation request will be mandatory.

b. Institutions will present all change in scope cases to ACM. After the approval case will be processed through QA Dte (AA) for necessary change in scope visit by the accreditation council.

c. Institution will ensure that the batches are accredited well before the graduation.

2.15 **Procedure for approval of PG programs**

For all Post Graduate (PG) programs starting from fall 2013 approval from HEC is mandatory. Cases duly approved by ACM for issuance of NOC by HEC in respect of all PG program of all institution will be routed through QA Dte. To obtain NOC, institutions will prepare the case as per the guidelines issued by HEC. Following documents are to be prepared by the concerned institution. (Any additional information may also be included which HEC may require at any later stage.)

a. Act/Charter of the University allowing to offer a program
b. Approval of the University statutory body to start/launch new program
c. NOC of accreditation council to launch a professional program (if applicable)
d. Curriculum of program in line with the HEC guidelines
e. Exact title of program that will appear on relevant degree
f. Brief Introduction of Program and date of its commencement
g. Objective to offer program
h. Scope regarding market, social and employment perspective of program
j. Entry/ admission requirement of program
k. Duration of the program and semester-wise break-up of workload/credit hours

l. PhD thesis review policy (by two foreign experts from technologically/academically advance countries and one local reviewer/committee

m. Policy of publication of research papers in HEC recognized journals {only published (not the accepted) papers shall be considered to the credit of PhD scholar}

n. There is a requirement of appointing full time relevant PhD faculty (minimum two PhDs for launching an MS/MPhil/equivalent program and three PhDs for a PhD/equivalent program). Please provide copies of following documents in respect of each PhD faculty member:-

i. Appointment order/notification

ii. Joining report along with current status

iii. CV along with one recent passport size photograph

iv. PhD degree and its translation in English (if required)

v. Transcript of grades

vi. Equivalence certificate in case of foreign degree (if applicable)

vii. Areas of specialization in PhD

viii. Copy of CNIC

2.16 Institutional Performance Evaluation (IPE)

QA Dte coordinates between HEC and NUST for evaluation of selected institution/NUST. Relevant institutions and directorates will provide/present requisite data to QA Dte/Visiting Panel. Responsibilities of various directorates are attached as Annex-D.

2.17 Membership

Process membership cases with Quality Assurance Agencies/Network on behalf of NUST. Membership fee will be catered for by QA Dte in annual budget, however all the payments in Local Currency (LC) or Foreign Exchange (FE) will be made by Finance Dte. List of current memberships is as follows:-

b. Asia-Pacific Quality Network (APQN)
c. Association of Commonwealth Universities (ACU)
d. International Association of Universities (IAU)
e. The Talloires Network
f. International Association of University Presidents (IAUP)
g. Association of Management Development Institutions in South Asia (AMDISA)
h. United Nations Academic Impact (UNAI)
j. Inter University Consortium for Promotion of Social Sciences (IUCPP)
k. Association of Quality Assurance Agencies of the Islamic World (QA-Islamic)
m. Any other approved by competent authority

2.18 Pakistan Qualification Register (PQR)

QAD will also maintain data of Pakistan Qualification Register parallel with Academic Directorate.

2.19 Continual Quality Improvement (CQI)

2.20 Feedback:

To ensure Continual Quality Improvement (CQI) and to improve the standard of faculty, services and academic programs, multiple inputs (feedback) will be taken from students, faculty and administrative staff periodically. Similarly feedback from alumni, employers and other stake holders are obtained for the improvement of ongoing programs. The detail of Feedback forms is as follows:

a) Alumni Survey
b) Employer Survey
c) Faculty Course Review Report
d) Faculty Survey
e) Research Student Progress Review Form
f) Student Course Evaluation Questionnaire
g) Survey of Department Offering Ph.D. Programs
h) Survey of Graduating Students
i) Teacher Evaluation Form
j) Faculty Resume
Analysis of feedback from various stakeholders is required for improvement of the respective programs and for making part of SARs. Information & Communication Technologies Directorate (ICT) will be responsible to upload the forms on Campus Management System (CMS), whereas institutions will ensure filling of feedback forms so that ICT directorate can enable and facilitate analysis to the respective institution/directorate for CQI. The content of the feedback forms can be changed by the competent authority are and when required by the institutions.

2.21 General QA related Activities:

To encourage quality related activities of HEIs seminars, conferences, meetings national and international events, etc. will be arranged at university level on regular basis. Record of all such activities will be compiled and maintained at the QA Dte. The Dte will also be responsible to update and maintain QA website. Similarly to encourage the faculty, students and staff various honors and awards are given by government, non-government organizations and international agencies. HEC, Best Teacher nominations, National and International Award cases will be processed through QA directorate.

2.22 National and International Ranking:

To strengthen the culture of transparency and to improve the competition among universities NUST participates in national (HEC ranking) and international ranking like Quacquarelli Symonds (QS) world universities ranking and Time Higher Education (THE). QA Dte will be the focal point for collecting the required data from all the NUST institutions for onward submission to the concerned ranking agencies.
Section – 3

Research Publications

3.1 Preamble:

Publication of research work in the form of books, monographs, articles & scientific research papers and other original works are means by which researchers communicate scholarly outcome and build their reputation among their peers. Publication is a primary basis on which researchers are evaluated for various incentives including employment, promotion and tenure. These publications contribute significantly towards citation of new knowledge, which is one of the functions of a university. NUST Policy encourages, facilitates and financially support, such research activities. NUST is equally committed to presents its strength in national and international scholarly forums on this aspect. Our faculty and students are fully motivated in this direction and have been actively participating and contributing largely through their publications in global knowledge and earning respect and prestige in the international academic cycle. Through this process, NUST hopes to further expand its international network of researchers and scholars that will strengthen these efforts and create alliance for research & education to become a new paradigm of global collaboration and cooperation.

3.2 Publication Section:

Quality Assurance Directorate is also acting as Focal Point for matter pertaining to NUST Scientific Publications. In this regards, the role of the Directorate to promote and enhance publications produced by our faculty and students. NUST policy for publication encapsulating almost all aspects related to facilitating, supporting and guidance to the researchers for publication of their research work. This provides an environment that attracts and nurtures NUST publications output. QA Directorate houses “Publication Section” which, co-ordinates available resources and opportunities for facilitating our researchers to produce high quality and substantial quantity of publications. As such, a part from contributing to global knowledge base publications remain integral part and parcel of our overall learning process, quality enhancement and earning reputation for our University. The prime responsibilities in this regard include sponsorship for paper presentation in conferences, publication of scientific paper in journals and incentives for higher quality and quantity achievers. The Publication Section also promulgates Plagiarism Policies, provide guidance on plagiarism and maintain academic integrity through the usage of ‘TURNITIN’. The programs listed in proceeding paragraphs encourage, facilitate and financially support faculty members, researchers and students for their pursued.
3.3 Support for Faculty Membership in Specialized Technical Journals and Professional Societies

Faculty members can propose any research society (such as ACM, IEEE, CPSR, etc. or other professional societies) that they desire to be a part of, and scientific journal that they wish to subscribe, for their institution. The university will financially support the provision of these memberships/journals centrally or at institution/department level, if permissible by the publishers, provided that reasonable efforts are made to share the resources among other members of the NUST community. It may however be noted that maximum efforts be made to utilize HEC’s PERN facility/Digital Library for consultation of scholarly material.

3.4 Publications in Refereed Journals

It is mandatory for the faculty and postgraduate students to publish technical papers in refereed journals and conferences on regular basis under the name of “National University of Sciences and Technology, Islamabad”. Each faculty member is expected to produce at least one publication annually in Impact Factor journals. Similarly, each PhD student will contribute at least two publications in Impact Factor journals. Order of the authors in a publication to be arranged as per their research contribution and students be given preference when publication is based on their hard work. They must also be encouraged to present their research at national/international forums. Highest credit will be given to publications in journals with high Impact Factor. To encourage faculty for publishing the finding/paper in ISI Indexed Impact Factor journal, publication/registration fee can be provided. Likewise, sponsorship for publication of book and chapter in a book will be made available after evaluating the research work, publishing agency and standing of the publication. Application Form for the same is attached as Annex-E.

3.5 Sponsorship of Presentation of Research Work/Paper in Reputed International Refereed Conferences

For the purpose of sharing and nurturing the seeds of research, NUST organizes and participates in international conferences, seminars and symposiums, etc. abroad. These activities foster research and intellectual exchange of information between academia, industry, and other participants. Sponsorship to these events is essential for professional grooming and growth of faculty and students. Eligibility criterion, guidelines and procedure for NUST sponsorship is as follows:

   a) A regular faculty member/research staff and bonafide PG student who successfully submits the results of his/her research work in a
international refereed conference of repute, will be sponsored on acceptance by the university to present his/her work/paper in that conference.

b) The applicant should have completed six month service at NUST, and has valid contract to continue with NUST. In case of MS/M.Phil student, he/she will only be sponsored for visit to the conference if he/she has already published an ISI indexed (IF) paper, otherwise, they can request for registration fee only.

c) NUST faculty/research staff/students already abroad on a sponsored program will be considered for registration fee on case to case basis only.

d) The standing of the conference will be determined by the acceptance i.e acceptance of full length paper and peer review etc. process. If the acceptance of papers is without a peer review and/or on submission of abstract only and for poster presentation, the request for sponsorship will not be entertained. The quality of the article and conference will be evaluated on the basis of following:

   i. Maturity level of the conference.
   ii. Acceptance rate (if available).
   iii. Hosting Agency/Organizers/Event body/Professional Society.
   iv. Review process and reviewer’s comments.
   v. Indexing of the proceedings.
   vi. Value of research work/paper and expected benefits viz-a-viz cost involved.
   vii. Possibility of publication of extended/modified version paper in a valued journal

e) In case of multi-authored papers the travel request to present paper will only be applicable for the first author unless the first author is not eligible or unable to present due to exceptional circumstances beyond his/her control. In such case, supervisor of the 1st author can be sponsored for presentation in the conference for the paper to be published in proceedings.
f) The Commandant/Principal of the institution is to ensure that only those requests are recommended for sponsorship which have high value and have strong chances of getting the revised/modified paper published in a reputed refereed journal. The request for sponsoring visit/registration fee in a conference is to be submitted on prescribed form, attached as Annex-F.

g) Faculty member/research staff/PG student may request for sponsoring of the registration fee only for subsequent paper(s) to be published in the proceedings of refereed international conference(s).

h) Primary professional affiliation of the author with NUST and acknowledgement of sponsorship must be shown on the paper.

i) NUST sponsorship will depends upon availability of funds in the relevant head and approval of the Competent Financial Authority.

j) All such requests must be forwarded to QA Dte, Main Office NUST at least 08 weeks in advance for processing and approval. The request must also have the duly filled application forms (as applicable) for alternate sources of funding like HEC, PSF, PTCL and Ministry of IT etc.

k) All participants are required to submit the conference proceedings/Post Visit report to the institution and the institution will forward it to QA Dte.

l) Request for second time sponsored visit to a conference would only be entertained, if subsequent to the conference, a paper is published in ISI indexed journal with Impact Factor and one year has been passed from the date of previous conference sponsorship.

m) PhD student will be required to produce at least two ISI Indexed (IF) papers before the 2nd sponsorship.

n) Sponsorship for Conferences held within country will be approved by the institutional heads out of institutions` budget.
3.6 Grant of Financial Award for Publication

NUST has always encouraged its faculty, research staff and students to actively involve themselves in research activities. It has been forthcoming in providing financial support and sponsoring the research activities. In this context, encouragement for research publications remains a very important aspect of NUST policy. NUST will also provide cash awards for contribution of its faculty, research staff and students in publication of their research work with NUST affiliation. The request is to be submitted as per prescribed form attached as Annex-G. It is a well-known fact that the quality of research publications is judged by the Impact Factor of the journal in which these are published, therefore, in order to further improve the quality of our research publications and align the policy with the latest trends, the following is necessary:

a) Research publication under the name of “National University of Sciences and Technology (NUST), Islamabad, Pakistan” will only be considered for the award under the policy.

b) Authors with NUST professional affiliation will only be considered for the award.

c) Maximum of the first four authors in the order will be considered for the award in a multi-authored paper.

d) Impact Factor (IF) journal indexed with Institute of Scientific Information (ISI) will be given much higher weightage for the financial award. The ISI official website has several subject categories. The amount of award of the paper will be calculated according to the rank of the journal in the relevant ISI subject category, with respect to Impact Factor in which the paper has been published.

e) Article published in internationally recognized journals (bearing ISSN, international authorship, editorial board/peer review panel and distribution) which are not indexed with ISI will be given.

f) A book or chapter published in a book will be processed under this policy on case to case basis giving due consideration to the recommendations and justifications by the institution.

g) PhD Thesis published in the form of a book will not be considered under the policy.
h) A text book published for higher education and disciplines taught at NUST will be given higher preference.

i) Research work published in a journal which is not a full-length research paper i.e. Letter to Editor, Abstracts, Notes, Short Paper/Study, Discussion & Comments, Erratum and Corrigendum etc. will be considered for the award on case to case basis. Such research work will not be counted for promotion.

j) Article published in ISI Master List, Scopus and NUST Journals will be given award.

3.7 Verification of Faculty and PhD Students Publication:

Publication section will be responsible for verification and vetting of NUST publications produced by our faculty members, researchers and students. It is to keep the record and upgrade the same from time to time. The section may be asked to verify recognition and categorization of journals and publications related to cases for promotions, appointment, equivalence, selection and PhD degree requirements as per Annex H.

3.8 Conferences/Workshops/Seminars at Institutions

Each constituent institution of NUST is required to organize at least one national level conference/ workshop/ seminar each year and at least one international level conference/workshop/seminar every alternate year in their respective field of disciplines. During the event, in addition to concerned scholars representatives from various concerned industries, ministries and Services HQs may be invited to attend. Apart from detailed briefing about the institution, the students/faculty members may be given time for presentation of their research work. The participants should also be shown the research work in the area and may be taken around the Labs and Library facilities highlighting the strength of R&D work. The objective is to enhance our rapport with the industry by developing understanding and strengthening industrial linkages and in the process, secure internships/placements for our students as well as sponsored research projects and consultancy services. The grant, on as required basis, to accomplish specific research objectives such as seminars, conferences, training, or to publish awareness building or educational materials be solicited from sponsoring agencies like HEC, PSF etc and in case of additional requirements funds can be provided from NUST Budget.
3.9 Research Ethics and Avoidance of Plagiarism

Higher Education Commission (HEC) has formulated a “Plagiarism Policy”, which aims to apprise the students, teachers, researchers and staff about plagiarism and how it can be avoided. HEC Plagiarism Policy is available at HEC website www.hec.gov.pk/QualityAssurance/download/Plagiarism_Policy.pdf. In order to guard against plagiarism in university produced scholarly works and to improve the quality of research, HEC has also purchased anti-plagiarism software “Turnitin” and provided its accounts to Universities. As per the policy on plagiarism, all NUST publications submitted for any conference/journal or published independently as theses, articles and assignments are to be evaluated for plagiarism using “Turnitin” Software. NUST institutions at all level (i.e. Principal/Commandant/Head of Department/Dean/Supervisor/Faculty Member) must ensure that publications or any written materials presented (i.e. reports, papers, thesis, assignment etc) by our Faculty/Students are free from the plagiarism. It is imperative that the cut-paste culture does not take roots in the scholarly works of the University. All must actively guard against such practices and advise others to refrain from the same. All faculty members must open their accounts on “Turnitin” and use software to check all publications. A copy of verified originality report checked through “Turnitin” is to be attached with publications (both conference & journal) whenever these are sent to NUST. Also in case of publications directly submitted for conference/journal publication these reports be verified by school/college authorities. Vigilance on the part of NUST Faculty is necessary to eradicate plagiarism and maintain the good name of the university. NUST has formulated “Standing Committee on Plagiarism and Research Ethics”. The committee will deal with the misconduct cases at the university level in accordance with HEC/University Plagiarism Policies, and code of conduct. In case of absence of local rules/regulations on plagiarism, research ethics and guidelines from best international practices will be considered for resolving the issues and decision making.
3.10 **Publication and Secrecy**

It is the university policy to support research and the researchers to publish and materialize research results. Researchers shall however not enter into projects requiring secrecy without the specific permission of the Dean / Principal / Commandant. During implementation of the R&D project, if any confidential information has been made available to the investigator/ researcher, the confidentiality of such information is to be protected. For this, the person furnishing such information may require submission of any manuscript for review of comments after deletion of specific items constituting disclosure of such confidential information.
ORGANIZATIONAL CHART

Quality Assurance Directorate

Rector NUST

Pro-Rector (Academics)

Director Quality Assurance

Dy Dir QA

Assistant Director QA

Dy Dir Academic Audit

Manager, International Collaborations

Asst Dir (Engg & IT)

Assistant Director International Collaborations

Dy Dir Publications
## Non Gazzetted Staff
### (QA Dte)

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<tr>
<th>Category</th>
<th>EBPS</th>
<th>No. of Posts</th>
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<tr>
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<tr>
<td>Superintendent</td>
<td>16</td>
<td>01</td>
</tr>
<tr>
<td>Assistant</td>
<td>14/15</td>
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<tr>
<td>DPA</td>
<td>11/12/14</td>
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<td>LDC</td>
<td>7/9</td>
<td>03</td>
</tr>
<tr>
<td>Naib Qasid</td>
<td>1/2/3</td>
<td>04</td>
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</table>
MATERIAL REQUIRED TO BE DISPATCHED TO QA DTE PRIOR TO THE VISIT

(a) **Management and Program Mission, Objectives & Outcome**

(1) Organogram (with names of officials)
(2) Mission, objectives and outcomes of the program(s)
(3) Significant future development plans for the program(s)

(b) **Curriculum Design and Organization**

(1) Curriculum – Semester wise list of courses taught for each program
(2) Record of curriculum reviews carried out during last 3 years for each program

(c) **Students**

(1) Section wise Student population
(2) Relegation / termination record for last three years and reasons for relegation / termination
(3) Record of student feedback forms
(4) Attrition rate of Masters/PhD Students, after 1st semester, for last 03 years.
(5) Measures being taken to facilitate Masters/PhD students for easy and regular access to their supervisors during research phase. Presentation of log books being maintained for recording the visits.
(6) Discipline & Programme wise state of MS & PhD Students.
(7) List of MS/PhD Students in last year of extended period and their progress.
(8) Detailed information of all PhD Scholars as per the format at Appendix-A”.
(9) Detailed information of all MS Scholars in research phase as per the format at Appendix-B”.
(d) **Faculty**

1. Details about Full / Part Time and Shared Faculty as per Appendix-C”.
2. Student / Teacher Ratio
3. Inbound / Outbound exchange visits of Faculty
4. Faculty research grants; obtained and utilization
5. Significant Academic / Research achievements/Collaborations in last five years
6. No. of Faculty / Student Research papers published during last five years in following journals:
   - ISI / Scopus Indexed journals
   - HEC approved journals
   - Others
7. No. of textbook(s) / book chapters written by faculty member(s)
8. No. of patents applied / approved in last five years
9. Record of faculty due for promotion or those not recommended in faculty board of studies (FBS), along with reasons of their rejection.
10. Record of faculty involvement in the Annual events like conducting seminars, workshops or guest lecture etc.
11. List of department wise PhD faculty and MS & PhD students being supervised by them.
12. No of Research Projects acquired by the faculty, the amount involved and MS/PhD students employed on such projects.

(e) **Laboratories and Computing Facilities**

1. List of Labs for each degree program (deficiency, if any)
2. List of UG courses which each lab supports.

(f) **Library**

1. No. of text / reference books (discipline wise) - deficiencies, if any
2. e-Books / e-Journals / Software’s accessible to faculty / students
3. List of Journals subscribed by the Institution
4. Library Staff (Name, Qualification, Grade, Date of Employment)
(g) **Institutional Facilities**

1. No. of classrooms (indicate any deficiency)
2. No. of Faculty offices (indicate any deficiency)
3. Facilities available for students (such as sports, hostel etc.)
4. Detail of Industrial/Corporate Sector Visit Plan
5. Detail of Internship

(h) **Administrative / Technical Staff**

1. Particulars of Heads of Administrative sections with qualification, designation and date of employment.
2. Lists of the personnel nominated for panel interviews (including faculty members, students, heads of administrative sections)
3. Leave record of faculty
<table>
<thead>
<tr>
<th>Name of the Scholar</th>
<th>Registration Number</th>
<th>Date of Admission</th>
<th>Date of transfer to PhD (in case of MS leading to PhD)</th>
<th>Degree Requirement Completion Date (Maximum Duration)</th>
<th>Date of GEC Formation</th>
<th>Credit Hours Completed</th>
<th>CGPA</th>
<th>Qualifier Exam - Part A (Date of Exam)</th>
<th>Qualifier Exam - Part B (Synopsis) (Notification Date)</th>
<th>Research Work Completed (%gae - 0-25, 26-50, 51-75, 76-100)</th>
<th>No of PhD Conference Publications/Presentations</th>
<th>Thesis - Evaluator Selection (Both foreign and local) (Date of selection)</th>
<th>Remarks</th>
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</table>

Appendix-A
### Appendix-B

**Detail of MS/M-Phil Scholars in Research Phase**

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<tr>
<th>Name of the Scholar</th>
<th>Registration Number</th>
<th>Date of Admission</th>
<th>Date of GEC Formation</th>
<th>Credit Hours Completed</th>
<th>CGPA</th>
<th>Research Synopsis Approval Date</th>
<th>Research Work Completed (% gae - 0-25, 26-50, 51-75, 76-100)</th>
<th>Remarks</th>
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</table>
## Faculty Information Proforma

### Program / Discipline / Subject

<table>
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<tr>
<th>S#</th>
<th>Name of Teacher / Designation</th>
<th>*Faculty Status (RVF, TVF, Shared) Since (date)</th>
<th>Qualification with specialization and date</th>
<th>Student being Supervised for Project / Thesis</th>
<th>Teaching Load (Credit hrs)</th>
<th>Published Research Work @</th>
<th>Additional Responsibilities @</th>
<th>PEC Registration No. (for engineers only)</th>
<th>Other Co-Curricular Activities @</th>
<th>Civic Activities participated/arranged</th>
<th>Date of Joining the Institution</th>
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</tbody>
</table>

### Details of Lab Staff

#### Department : ___________________________

#### Program / Discipline / Subject ___________________________

#### Lab Name : ___________________________

<table>
<thead>
<tr>
<th>S#</th>
<th>Name of the Staff</th>
<th>Designation</th>
<th>Qualification</th>
<th>Joining date</th>
<th>Experience</th>
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</tbody>
</table>

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Appendix-C
SCOPE OF UNIVERSITY’S INTERNAL QUALITY AUDIT OF NUST INSTITUTIONS

1. The quality audit of NUST institutions shall cover following points pertaining / applicable to the specific institution. The available documents relevant to the various aspects of Audit Scope may be kept in the room reserved for the panel interviews during the audit visit.

(a) Management and Program Mission, Objectives & Outcome

(1) Evidence of graduating students performing the desired outcomes.
(2) Results of previous assessment and consequent improvement of program.

(b) Curriculum Design and Organization

(1) Faculty members’ Course folders
(2) Departmental Students’ Folders
(3) Examination record and compliance of NUST Exam Policy
(4) Teachers’ Evaluation Record based on the classroom visits by HoD
(5) Guest lectures / educational visits arranged by the department
(6) Students’ attendance / Shortage of attendance
(7) Weekly Time Table of all programs
(c) **Compliance of HEC Quality Criteria**

(i) Record of Implementation of Plagiarism Policy

(ii) SAR writing / preparation record:-

  - List of Program Teams of each program
  - List of Assessment Teams of each program
  - SARs which are ready

(d) **Laboratories and Computing Facilities**

(1) Adequacy of equipment as per program requirement and student strength

(2) Record of serviceability of available Lab equipment

(3) Manuals for practical’s

(4) Safety Regulations / Instructions

(5) Log books of major equipment

(6) Utilization Rate (UR)

(7) Lab Staff details (Name, Qualification, Grade, Date of Employment)

(8) Internet facility in institution / hostel.

(e) **Students**

(1) Student population – Course / Section-wise

(2) Orientation process / Student Guidelines

(3) Student Counseling System

(4) Extra-curricular activities / students clubs / sports facilities

(5) Student interaction with institution’s administration

(6) Record of Internship and placement of graduates
(7) **Student Related Feedbacks / Surveys:**
   (a) Student Course Evaluation Questionnaire
   (b) Survey of Graduating Students
   (c) Survey of Alumni
   (d) Survey of Employers
   (e) Research Student Progress Review Form
   (f) Survey of Department offering PhD Programs

(f) **Faculty**

(1) Evidence of Orientation process of New Faculty
(2) Process being followed for Mentoring of New / Junior Faculty
(3) Workload of Faculty; Additional responsibilities
(4) Lecture-wise course breakdown of course(s) taught by the faculty members
(5) Faculty Dossiers (with HoD)
(6) Details of Calculating Student / Teacher Ratio
(7) Procedure to ensure effective teaching / learning processes
(8) Details of Faculty research grants; obtained and utilization
(9) Copies of Faculty Publications in last five years
   (i) ISI / Scopus Indexed journals
   (ii) HEC approved journals
   (iii) Others
(10) Textbook(s) / Book Chapters written by faculty member(s)
(11) Detail of patents applied / approved
(12) **Student Related Feedbacks / Surveys:**
   (a) Faculty Course Review Report
   (b) Faculty Survey
   (c) Faculty Resume
   (d) Teachers Evaluation Form
**Institutional Facilities**

Details of following:

1. Class sizes (theory & practical sessions)
2. Cafeteria
3. Hostel accommodation
4. Sports Facilities (including swimming pool, Gymnasium etc.)
5. Transport arrangements for students
6. Adequacy of secretarial support, technical staff and office equipment
7. Databases / Record keeping of following
   i. Alumni; alumni donations
   ii. Employers (of NUST graduates)
   iii. Peers (Peers are the academics / scholars / researchers working in other Pakistani / foreign universities known to our faculty members)
   iv. HEC Department-wise Information Proforama

**Library**

1. Details of Budget / availability of funds for library upgradation
2. Library operational / maintenance procedures (SoPs)
3. Details of Library equipment (PCs, photocopier, scanner etc)

**Industrial Linkage**

1. Details of Industrial Liaison office
2. Details of projects / funding obtained from industry
3. Record of Visits conducted to the industry
4. Methodology of Commercialization of Research Findings

**Civic Engagement**

1. List of Research Projects related to Community
2. Details of Services / help rendered to the Society
(I) **Administrative / Technical Staff**

(1) Administrative / Technical sections in the institutions.
(2) Details of Administrative / Technical Staff

**List of Documents**

- Record of Institution’s SOPs / Policies
- Implementation on the decisions of Academic Council Meeting
- Minutes of Departmental Board of Studies Meetings
- Minute of Faculty Board of Studies Meetings
- Record of Students counseling / advising
- Record of actions taken for plagiarism, cheating, malpractice by faculty/students/staff etc
- Alumni data (Soft record)
- Record of students educational, industrial visits
- Faculty mentoring policy and it is implementation record
- Fire Safety SOP
- Record of feedback taken as per QA Dte Forms and actions taken on the feedback
- Record of International Conferences / Seminars / Workshops Organized by the Institution and Abstract of International papers.
- Action Taken on the Previous visit of Accrediting Council/ Internal Quality Audit Visit (as applicable)
- Number of Research Papers in terms of Quantity + Citations for last three years
- Record of Alumni Donations
- Community/Services record
- Evidence of Annual Budget requests from the institution to Finance Dte for last 03 year
## Responsibilities of Various Directorates for IPE

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<th>S#</th>
<th><strong>General Documents/Information</strong></th>
<th><strong>Responsibility</strong></th>
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<tr>
<td>1</td>
<td>PSA Dte will prepare the presentation on HEC Parameters after collecting the required information from the relevant Directorates and will present the same to HEC Review Panel</td>
<td>PSA Dte</td>
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<tr>
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<td>Total No. of books &amp; subscribed journals in the library and access to Books &amp; Journals through Digital Library</td>
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<td>Annual Reports</td>
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<tr>
<td>2</td>
<td>Name of the Institution &amp; Location.</td>
<td>Registrar Dte</td>
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<td></td>
<td>Type of the Institution (Public / Private)</td>
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<td></td>
<td>Nature of the Campus: Main Campus/Sub-Campus/Affiliated Institutions etc.</td>
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<td>If Affiliated Institution, name of the Institution with whom affiliated</td>
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<td></td>
<td>Admission Policy</td>
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<td></td>
<td>Examination rules</td>
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<td></td>
<td>Senate / Syndicate / Board of Governors</td>
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<td>Boards of Faculties or equivalent body</td>
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<td>No. of currently enrolled students in each program (Semester-wise/Year-wise)</td>
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<td>Board of Advanced Studies and Research (BASR) or equivalent body</td>
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<td>Approval of each Program and Department from the concerned statutory body (With date of approval and launch)</td>
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<td>Composition of “Affiliation Committee” with the name of HEC Representative/Nominee in the committee.</td>
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<td>Approved Criteria for awarding affiliation</td>
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<td>Approval documents for affiliation (letter, minutes etc. from competent forum).</td>
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<td>Approval of programs being conducted at affiliated colleges from university’s own competent forum).</td>
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<td>Semester Guidelines</td>
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<td>Curricula of Programs</td>
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<td>Vision and Mission</td>
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<td>Approval of HEC for establishment of the institution on present location</td>
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<td>Any other information required by the HEC in respect of SP&amp;MO Dte.</td>
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<td>Approval for the establishment of the institution being inspected from Statutory Bodies, Respective Government Department and HEC (as applicable)</td>
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<td>Faculty Appointment Criteria</td>
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<td>University’s own Tenure Track Statutes</td>
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<td>Grievance Committee</td>
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<td>Selection Boards or equivalent body</td>
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<td>No. of Approved posts for Faculty members (Please Provide Department Wise List in terms of designation; Prof, Associate Professor, Assistant Professor and Lecturer)</td>
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<td>No. of currently employed Permanent Faculty members in each department (Please provide list in terms of qualification, designation and date of appointment)</td>
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<td>Department-wise lists of Administrative/Technical Staff members</td>
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<td>Evidence of Accreditation of Professional Program(s) from the concerned Accreditation Council(s) (Where Applicable)</td>
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<td>Quality assurance mechanism for affiliated colleges (if any)</td>
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<td>Plagiarism Policy / Handbook</td>
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<td>Approval of programs by the respective professional councils.</td>
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<td>Any other information required by the HEC in respect of QA Dte.</td>
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<td>9</td>
<td>Career Counseling</td>
<td>C3A Dte</td>
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<td>Any other information required by the HEC in respect of C³A</td>
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<td>10</td>
<td>Placement System</td>
<td>RIC Dte</td>
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<td>Any other information required by the HEC in respect of RIC Dte.</td>
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<td>11</td>
<td>Finance and Planning Committee or equivalent body</td>
<td>Finance Dte</td>
</tr>
<tr>
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<td>Any other information required by the HEC in respect of Fin Dte.</td>
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<tr>
<td>12</td>
<td>Arrange for security, transportation, tea-break/lunch, accommodation etc. for the HEC review team.</td>
<td>Admin Dte</td>
</tr>
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<td></td>
<td>Faculty and Students support services.</td>
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<td>Any other information required by the HEC in respect of Admin Dte.</td>
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<tr>
<td>13</td>
<td>Master Plan of Infrastructure</td>
<td>PMO Dte</td>
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<tr>
<td></td>
<td>Any other information required by the HEC in respect of PMO Dte.</td>
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</table>
APPLICATION FORM FOR SPONSORSHIP OF PUBLICATION CHARGES/FEE OF PAPER TO BE PUBLISHED IN JOURNAL
(Providing information against each column is mandatory)

1. **Publication Details:**
   a. Title of Book/Chapter/Paper:
   b. Title of Journal:
   c. ISBN/ISSN Number:
   d. Edition/Volume Number:
   e. Number of Pages Published:
   f. Address of Publisher:

2. **Indexation Details**
   a. ISI Indexed(Impact Factor)/ISI Master List/Scopus (Please attached copy of supporting documents):
   b. Indexation/Warehouse Website:
   c. URL/Visibility:

3. **Authorship Details:** (Please provide the details of all authors of the paper)
   a. **1st Author**
      (i) Name:
      (ii) Designation: (UG/MS/M.Phil/PhD Scholar/Faculty Member/Research Staff)
      (iii) Contact No:
      (iv) e-mail Address:
      (v) Organization:
      (vi) Research Interest:
      (vii) Paper Published:
   b. **2nd Author**
      (i) Name:
      (ii) Designation: (UG/MS/M.Phil/PhD Scholar/Faculty Member/Research Staff)
      (iii) Contact No:
      (iv) e-mail Address:
      (v) Organization:
      (vi) Research Interest:
4. **Confirmation of Acceptance/Publication:**

   a. Acceptance Letter:
   b. Reviewers Remarks:
   a. Copy of Camera Ready Paper to be provided:

5. **Invoice Details**

   a. Amount:
   b. Due Date:
   c. Details incentive/honorarium/sponsorship offered by any other Organization:

6. **Utilization/commercialization aspects of the research work at present and in future with plans and goals:**

7. **Undertaking by the Applicant**

   I/we hereby undertake and affirm that

   a. The publication has been checked for plagiarism. The substance of the book/chapter/paper being published (as indicated in S.No.1(a) above) is based on the original research conducted by me/us. No sentence, equation, diagram, table, paragraph or section has been copied verbatim from previous work unless it is placed under quotation marks and duly cited. A verified copy of Turnitin Report is attached. In case any plagiarism in research work and fake/bogus/predatory journal is proved, apart from penalties imposed, I will refund entire amount of funds.
   b. No other incentive, reward or financial assistance has been provided by any organization to me for the paper.
   c. I will inform QA Dte, if there is any alteration/addition or change in copy of camera ready paper (provided with the case) for publication.
   d. All the information provided above is true to the best of my knowledge and belief.
   e. All the supporting documents submitted are authenticated.

   Dated:__________  Name & Signature of Applicant
8. **Recommendations of Commandant/Principal of Institution:**
   a. Peer review/ objective evaluation of research work:
   b. Recommendations:

   Signature____________________
   Name_______________________
   Designation__________________

   Dated:__

9. **Remarks/Recommendations by Director (QA), NUST:**

   ____________________________________________

   Signature of Dir (QA)

9. **Decision by the Approving Authority:**
   Approved / Not Approved

   ____________________________________________

   Signature of Approving Authority
CHECK LIST FOR ORAL PRESENTATION OF PAPER IN INTERNATIONAL CONFERENCE/SEMINAR/WORKSHOP/SYMPOSIUM ETC

Please attach copies of relevant documents/correspondences and tick the relevant box.

<p>| | |</p>
<table>
<thead>
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<tbody>
<tr>
<td>1.</td>
<td>Sponsorship case has been prepared on revised NUST, HEC and PSF Application Forms.</td>
</tr>
<tr>
<td>2.</td>
<td>Full length Camera Ready paper under full nomenclature of “National University of Sciences and Technology, Islamabad, Pakistan” is attached.</td>
</tr>
<tr>
<td>3.</td>
<td>Duly verified “Originality Report”, of the paper to be presented, generated through “Turnitin” is attached.</td>
</tr>
<tr>
<td>4.</td>
<td>Acceptance as “oral presentation” after peer review of full paper in the respective conference. (original preferred; photocopy must be attested by Head of Department or Dean)</td>
</tr>
<tr>
<td>5.</td>
<td>Reviewers comments on the quality of the paper provided by the conference organizers is attached.</td>
</tr>
<tr>
<td>6.</td>
<td>In case of already fully sponsored, copy of published paper in ISI Indexed (IF) journal has been attached with the case.</td>
</tr>
<tr>
<td>7.</td>
<td>Attached conference brochure containing aims, objectives and themes with schedule of charges of registration fee and accommodation etc.</td>
</tr>
<tr>
<td>8.</td>
<td>Attached Economy class airfare certificate by the shortest route.</td>
</tr>
<tr>
<td>9.</td>
<td>In case of co-author, please attach NOC from preceding author(s).</td>
</tr>
<tr>
<td>10.</td>
<td>Brief CV (2-3 pages) including research contribution and S&amp;T achievements.</td>
</tr>
<tr>
<td>11.</td>
<td>Duly filled in revised HEC and PSF sponsorship application forms, which can be downloaded from their respective websites; <a href="http://www.hec.gov.pk">www.hec.gov.pk</a> &amp; <a href="http://www.psf.gov.pk">www.psf.gov.pk</a> alongwith requisite documents i.e. airfare invoice, complete papers, conference information and CV are to be submitted for onward submission to HEC &amp; PSF.</td>
</tr>
</tbody>
</table>

(Complete application should be received at least 08 weeks before the conference date at NUST. Late or incomplete applications will not be entertained.)
APPLICATION FORM FOR SPONSORSHIP OF PRESENTATION OF PAPER
IN REPUTED INTERNATIONAL REFEREED CONFERENCES/
SEMINARS/WORKSHOPS

(Note: The case is to be submitted to NUST at least 08 weeks in advance for processing
and approval)

1. **Applicant’s Profile:**
   Name:
   Registration No. /Date of Joining
   NIC #
   Highest qualification
   Designation
   Institution
   E-mail
   Mobile #

   Details of Previous Sponsorship for Conference by NUST/HEC/other funding agencies

2. **Title of Paper:**

   (Please attaché the copy of Paper)

3. **Authors Details:**
   1\(^{st}\) Author Name
   Designation (UG /MS/M.Phil /PhD Scholar/ Faculty Member/Research Staff)
   Organization

   2\(^{nd}\) Author Name
   Designation (UG /MS/M.Phil /PhD Scholar/ Faculty Member/Research Staff)
   Organization

   (To be continued depending upon number of authors)

4. **Conference Title:**

5. **Main Theme of the conference:**

6. **Conference Hosting Agencies:**

7. **Conference Hosting Agencies**

8. **Acceptance Rate of the Conference:**

9. **Venue of Conference:**

10. **Details of invitation and acceptance by the organisers:**
    (Attach on separate sheet and refer here)

11. **Website/E-mail of Conference/Organisers:**
12. **Requirement of finances (attach supporting documents)**
   Total:
   a. Airfare with Invoice :
   b. Registration Fee :
   c. Visa Fee:
   d. Others Expenditures :

13. **Details of sponsorship/funding by organisers/other agencies:**

14. **It is certified that:** (To be answered in Yes or No)
   a. Research work to be presented is original.
   b. Contribution of full length research paper by a researcher is accepted only after a blind peer review or review by editorial board having substantial academic repute.
   c. The hosting agency of conference (i.e. academic institution, professional society or industry) is of considerable repute.
   d. The subject of the conference is pertaining to the disciplines, which are related to specialties covered by NUST.
   e. The hosting agency of conference hosts such a conference regularly and each conference is numbered e.g. 39th AIAA conference or 10th ASME conference.
   f. The hosting agency of conference peer review process is strong (acceptance rate) and it publishes the conference proceedings of each paper presented and not just the abstract.
   g. The proceedings of the conference bear an ISSN number, which ensures wide circulation and unique identification of the proceedings. It is indexed in Institute of Scientific Information (ISI) and Scopus.
   h. The camera ready paper carries the full name of “National University of Sciences and Technology (NUST), Islamabad, Pakistan”.

15. **Undertaking by the Authors:**
   I (we) undertake that:
   a. The paper has significant new work as compared to my (our) papers that have already been published or are under consideration to be published elsewhere. No sentence, equation, diagram, table, paragraph or section has been copied verbatim from previous work unless it is placed under quotation marks and duly referenced. A copy of originality report (duly verified by HoD) checked through “Turnitin” software is attached.
   b. The work presented is my (our) own work (i.e. there is no plagiarism). There is no fabrication of data or results. No ideas, processes, results, or words of others have been presented as Authors(s) own work. Where material has been used from other sources it has been properly acknowledged, with verbatim copies of such material being placed under quotation marks.
c. In case any plagiarism is proved, apart from penalties imposed, I will refund entire amount of grant.
d. If the grant is provided, I shall solely be responsible for its proper utilization and adjustment with used air ticket and other receipts of expenditure and refund in case of cancellation of visit.
e. I shall also furnish a Post Visit Report within 10 days after the visit. In case of non-utilization of sponsorship, I will inform QA Dte accordingly.
f. All the supporting documents submitted are authenticated.
g. If above undertaking is untrue I (we) acknowledge that I (we) will have committed a Research Misconduct Offence and am/are liable to receive severe penalties.

Name and Signature of Applicant

16. Previous Contributions

   a. Attach details of earlier sponsored participation on conferences, and their status for publishing in a journal of repute.
   c. Attach details of other contributions

And refer these here.

17. Recommendations by Dean/HoD (including the following):

   a. Comments on the value of Paper:
   b. Comments on the quality of Conference:
   c. Benefits expected for college/institute/centre by attending the conference:
   d. Recommended amount of total Funds:

   Signature: ___________________
   Name: ___________________
   Designation: ___________________

18. By Commandant/Principal of College/School/Institute/Centre:
19. **Remarks/Recommendations of Director (QA), NUST**

   Signature of Dir (QA)

20. **Decision by Approving Authority**
    Approved/Not Approved/Approved as

   Signature of Approving Authority
APPLICATION FORM FOR GRANT OF FINANCIAL AWARD FOR PUBLICATION BY NUST FACULTY/STUDENTS/RESEARCH STAFF

(Providing information against each column is mandatory)

1. **Publication Details:**

   a. Title of Book/Chapter/Paper:
   b. Abstract/Introduction:
   c. Name of Journal:
   d. ISBN/ISSN Number:
   e. Edition/Volume Number:
   f. Number of Pages Published:
   g. Date of Publication:
   h. Address of Publisher:
   i. Websites/Visibility:
   j. DOI:

2. **Indexation Details**

   a. ISI Indexed(Impact Factor)/ISI Master List/Scopus/NUST Journal/International Journal
      (Please attached copy of supporting documents also):
   b. Impact Factor of Journal:
   c. ISI Subject Category of Journal:
   d. Rank of the Journal with respect to Impact Factor:
   e. Total Number of Journals in the Subject Category:

3. **Authorship Details:** (Please provide the details of all authors of the papers).

   a. 1st Author
      (i) Name:
      (ii) Designation: (UG /MS/M.Phil /PhD Scholar/ Faculty Member/Research Staff)
      (iii) Contact No:
      (iv) e-mail Address:
      (v) Organization:
      (vi) Research Interest:
      (vii) Paper Published:
      (viii) Previous Awards Received on Publication of Research Work/Books etc, if any:
b. 2nd Author
   (i) Name:
   (ii) Designation: (UG/MS/M.Phil/PhD Scholar/ Faculty Member/Research Staff)
   (iii) Contact No:
   (iv) e-mail Address:
   (v) Organization:
   (vi) Research Interest:
   (vii) Paper Published:
   (viii) Previous Awards Received on Publication of Research Work/Books etc, if any:

   (To be continued depending upon number of authors)

4. **Confirmation of Publication:**

   Published copy of Book/Chapter/Paper to be provided. This should be from the original publication and not a printout/draft.

5. **Utilization/commercialization aspects of the research work at present and in future with plans and goals:**

6. **Undertaking by the Applicant**

   I/we hereby undertake and affirm that

   a. The publication has been checked for plagiarism. The substance of the book/chapter/paper published (as indicated in S.No.1(a) above) is based on the original research conducted by me/us. No sentence, equation, diagram, table, paragraph or section has been copied verbatim from previous work unless it is placed under quotation marks and duly referenced. A copy of originality report checked through “Turnitin” software is attached. In case any plagiarism is proved, apart from penalties imposed, I will refund entire amount of award.

   b. No other incentive, reward or financial assistance has been provided by any organization to me for the work as indicated in S.No.1(a).
c. In order to avoid my publication with fake/bogus/predatory publishers and journals, I have carefully selected the journal after considering the latest information on the subject.

d. All the information provided above is true to the best of my knowledge and belief.

e. All the supporting documents submitted are authenticated.

Dated:__________ Name & Signature of Applicant

7. **Recommendations of Commandant/Principal of Institution:**

   a. Peer review/ objective evaluation of research work:
   b. Amount/share recommended for each author:
   c. (only NUST authors are eligible)
   d. Recommendations:

   Dated:__________ Signature: ________________
   ____
   Name: ____________________________
   Designation: ______________________

8. **Remarks/Recommendations by Director (QA), NUST:**

   Signature of Dir (QA)

9. **Decision by Approving Authority:**
   Approved / Not Approved

   Signature of Approving Authority
Certificate – PhD Research Publication

Verification by QA Dte

It is certified that abovementioned publications have been verified. These research publications are accepted for publication in the following HEC recognized categories/ international conference:

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<tr>
<th>Description</th>
<th>No of Publication(s)</th>
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<tr>
<td>o HEC Recognized ‘W’ Categories</td>
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<tr>
<td>o HEC Recognized ‘X’ Categories</td>
<td></td>
</tr>
<tr>
<td>o HEC Recognized ‘Y’ Categories (in Social Sciences only)</td>
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<tr>
<td>o International Conference</td>
<td></td>
</tr>
<tr>
<td>o Others Publications</td>
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<tr>
<td>o Remarks: Nil</td>
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</table>

Date:_________________________ Signature & Stamp __________________________

Name: _______________________

Designation: ___________________